

<b>General information about company</b>	
Scrip code	532729
NSE Symbol	UTTAMSUGAR
MSEI Symbol	NotListed
ISIN	INE786F01031
Name of the company	Uttam Sugar Mills Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2021
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Yes		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	19	28603585			28603585	75	28603585	28603585	75	
(B)	Public	23664	9534535			9534535	25	9534535	9534535	25	
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	23683	38138120			38138120	100	38138120	38138120	100	

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				75			9484170	33.16	28603585
(B)	Public				25					9155240
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100			9484170	24.87	37758825

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	13	5272204			5272204	13.82	5272204		5272204	13.82
(d)	Any Other (specify)	6	23331381			23331381	61.18	23331381		23331381	61.18
Sub-Total (A)(1)		19	28603585			28603585	75	28603585		28603585	75
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		19	28603585			28603585	75	28603585		28603585	75
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(e)	Foreign Portfolio Investors	2	16199			16199	0.04	16199		16199	0.04
Sub-Total (B)(1)		2	16199			16199	0.04	16199		16199	0.04
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	22860	3552445			3552445	9.31	3552445		3552445	9.31
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	26	4304687			4304687	11.29	4304687		4304687	11.29
(e)	Any Other (specify)	776	1661204			1661204	4.36	1661204		1661204	4.36
Sub-Total (B)(3)		23662	9518336			9518336	24.96	9518336		9518336	24.96
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		23664	9534535			9534535	25	9534535		9534535	25
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		23683	38138120			38138120	100	38138120		38138120	100
Total (A+B+C)		23683	38138120			38138120	100	38138120		38138120	100

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				13.82			3484170	66.09	5272204
(d)				61.18			6000000	25.72	23331381
Sub-Total (A)(1)				75			9484170	33.16	28603585
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				75			9484170	33.16	28603585
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(e)				0.04					16199
Sub-Total (B)(1)				0.04					16199
(3)	Non-institutions								
(a(i))				9.31					3546850
(a(ii))				11.29					3931187
(e)				4.36					1661004
Sub-Total (B)(3)				24.96					9139041
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				25					9155240
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100					37758825
Total (A+B+C )				100			9484170	24.87	37758825

Individuals/Hindu undivided Family							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	Raj Kumar Adlakh	Ranjan Adlakh	Shomna Adlakh	Ranjana Chopra	Rajan Adlakh	Bharat Adlakh	Saieesha Adlakh
PAN (II)	AJZPK7896C	AAEPA8800E	AEMPA3857P	AAFPC2296R	ADEPA1936C	AKPPA0317B	AKPPA4439G
No. of fully paid up equity shares held (IV)	1624610	1492550	870410	373300	367010	166500	166500
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1624610	1492550	870410	373300	367010	166500	166500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.26	3.91	2.28	0.98	0.96	0.44	0.44
Number of Voting Rights held in each class of securities (IX)							
Class eg:X	1624610	1492550	870410	373300	367010	166500	166500
Class eg:y							
Total	1624610	1492550	870410	373300	367010	166500	166500
Total as a % of Total Voting rights	4.26	3.91	2.28	0.98	0.96	0.44	0.44
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	4.26	3.91	2.28	0.98	0.96	0.44	0.44
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)	1624610	1492550	0	0	367010	0	0
As a % of total Shares held (b)	100	100	0	0	100	0	0
Number of equity shares held in dematerialized form (XIV)	1624610	1492550	870410	373300	367010	166500	166500
Reason for not providing PAN							
Reason for not providing PAN							
Shareholder type	Promoter	Promoter	Promoter Group	Promoter Group	Promoter	Promoter Group	Promoter Group

Individuals/Hindu undivided Family						
Serial No.	8	9	10	11	12	13
Name of the Shareholders (I)	Rajni Babbar	Shanta	Jai Adlakha	Raj Kumar Adlakha- Karta of R.K.Sons HUF	Balram Adlakha	Sonia Adlakha
PAN (II)	ACCPB1027G	AMEPS8581J	AXUPA5278K	AAGHR4573R	AWTPA3967F	ACBPA8837E
No. of fully paid up equity shares held (IV)	139910	60000	4200	4104	3100	10
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+ (VI)	139910	60000	4200	4104	3100	10
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.37	0.16	0.01	0.01	0.01	0
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	139910	60000	4200	4104	3100	10
Class eg:y						
Total	139910	60000	4200	4104	3100	10
Total as a % of Total Voting rights	0.37	0.16	0.01	0.01	0.01	0
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.37	0.16	0.01	0.01	0.01	0
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)	0	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	139910	60000	4200	4104	3100	10
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group

<b>Individuals/Hindu undivided Family</b>	
Serial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	5272204
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+(V)+ (VI)	5272204
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	13.82
<b>Number of Voting Rights held in each class of securities (IX)</b>	
Class eg:X	5272204
Class eg:y	
Total	5272204
Total as a % of Total Voting rights	13.82
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	13.82
<b>Number of Locked in shares (XII)</b>	
No. (a)	
As a % of total Shares held (b)	
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>	
No. (a)	3484170
As a % of total Shares held (b)	66.09
Number of equity shares held in dematerialized form (XIV)	5272204
<b>Reason for not providing PAN</b>	
Reason for not providing PAN	
Shareholder type	

Any Other (specify)						
Serial No.	1	2	3	4	5	6
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Name of the Shareholders (I)	Uttam Adlaka & Sons Holdings Private Limited	Lipi Boilers Private Limited	Uttam Industrial Engineering Private Limited	New Castle Finance And Leasing Pvt Ltd	Uttam Sucrotech Limited	Shubham Sugars Limited
PAN (II)	AAACG0405E	AAACL1650L	AAACU1074B	AAACN0386K	AAACU4042K	AAICS3936L
No. of the Shareholders (I)	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	16301224	3515000	2750000	380650	314267	70240
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+ (VI)	16301224	3515000	2750000	380650	314267	70240
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	42.74	9.22	7.21	1	0.82	0.18
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	16301224	3515000	2750000	380650	314267	70240
Class eg:y						
Total	16301224	3515000	2750000	380650	314267	70240
Total as a % of Total Voting rights	42.74	9.22	7.21	1	0.82	0.18
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	42.74	9.22	7.21	1	0.82	0.18
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)	0	2950000	2750000	0	300000	0
As a % of total Shares held (b)	0	83.93	100	0	95.46	0
Number of equity shares held in dematerialized form (XIV)	16301224	3515000	2750000	380650	314267	70240
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter Group	Promoter	Promoter	Promoter Group	Promoter	Promoter Group

<b>Any Other (specify)</b>	
Serial No.	
Category	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	
PAN (II)	Total
No. of the Shareholders (I)	6
No. of fully paid up equity shares held (IV)	23331381
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+(V)+(VI)	23331381
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	61.18
<b>Number of Voting Rights held in each class of securities (IX)</b>	
Class eg: X	23331381
Class eg:y	
Total	23331381
Total as a % of Total Voting rights	61.18
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	61.18
<b>Number of Locked in shares (XII)</b>	
No. (a)	
As a % of total Shares held (b)	
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>	
No. (a)	6000000
As a % of total Shares held (b)	25.72
Number of equity shares held in dematerialized form (XIV)	23331381
<b>Reason for not providing PAN</b>	
Reason for not providing PAN	
Shareholder type	

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>				
Serial No.	1	2	3	
Name of the Shareholders (I)	Anil Kumar Goel	Seema Goel	Yogesh Chopra	<a href="#">Click here to go back</a>
PAN (II)	AAJPG2552Q	AHAPG8015A	ABGPC2316L	Total
No. of fully paid up equity shares held (IV)	1809000	880000	462300	3151300
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1809000	880000	462300	3151300
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.74	2.31	1.21	8.26
<b>Number of Voting Rights held in each class of securities (IX)</b>				
Class eg: X	1809000	880000	462300	3151300
Class eg:y				
Total	1809000	880000	462300	3151300
Total as a % of Total Voting rights	4.74	2.31	1.21	8.26
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	4.74	2.31	1.21	8.26
<b>Number of Locked in shares (XII)</b>				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	1809000	880000	462300	3151300
<b>Reason for not providing PAN</b>				
Reason for not providing PAN				

Any Other (specify)						
Serial No.	1	2	3	4	5	
Category	HUF	Non-Resident Indian (NRI)	Clearing Members	Bodies Corporate	Bodies Corporate	
Category / More than 1 percentage	Category	Category	Category	Category	More than 1 percentage of shareholding	
Name of the Shareholders (I)					Vedicera Services Private Limited	<a href="#">Click here to go back</a>
PAN (II)					AACCV8939L	Total
No. of the Shareholders (I)	416	192	68	100	1	776
No. of fully paid up equity shares held (IV)	524958	117427	61220	957599	812040	1661204
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+ (VI)	524958	117427	61220	957599	812040	1661204
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.38	0.31	0.16	2.51	2.13	4.36
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	524958	117427	61220	957599	812040	1661204
Class eg:y						
Total	524958	117427	61220	957599	812040	1661204
Total as a % of Total Voting rights	1.38	0.31	0.16	2.51	2.13	4.36
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.38	0.31	0.16	2.51	2.13	4.36
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	524958	117427	61220	957399	812040	1661004
Reason for not providing PAN						
Reason for not providing PAN						

significant beneficial owners																
Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	RAJ KUMAR ADLAKHA	AJZPK7896C	Indian	India		RAJ KUMAR ADLAKHA	AJZPK7896C		India		4.26	4.26		No	No	17-11-2000
2	RAJ KUMAR ADLAKHA	AJZPK7896C	Indian	India		LIPI BOILERS PRIVATE LIMITED	AAACL1650L		India		9.22	9.22		No	No	17-11-2000
3	RAJ KUMAR ADLAKHA	AJZPK7896C	Indian	India		Raj Kumar Adlakha Huf	AAGHR4573R		India		0.01	0.01		No	No	17-11-2000
4	RAJ KUMAR ADLAKHA	AJZPK7896C	Indian	India		UTTAM ADLAKHA & SONS HOLDINGS PRIVATE LIMITED	AAACG0405E		India		42.74	42.74		No	No	17-11-2000
5	RAJ KUMAR ADLAKHA	AJZPK7896C	Indian	India		SHUBHAM SUGARS LTD.	AAICS3936L		India		0.18	0.18		No	No	17-11-2000
<b>Total:</b>											<b>56.41</b>	<b>56.41</b>	<b>0</b>			
6	RANJAN ADLAKHA	AAEPA8800E	Indian	India		RANJAN ADLAKHA	AAEPA8800E		India		3.91	3.91		No	No	17-11-2000
7	RANJAN ADLAKHA	AAEPA8800E	Indian	India		UTTAM INDUSTRIAL ENGINEERING PVT. LTD.	AAACU1074B		India		7.21	7.21		No	No	17-11-2000
<b>Total:</b>											<b>11.12</b>	<b>11.12</b>	<b>0</b>			